



## ASANTE CAPITAL GROUP ADVISORS LLC

### Business Continuity Disclosure Statement

Asante Capital Group Advisors, LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. Our goal is to be able to continue to represent our Clients to the best of our ability during and after a Disaster, understanding that the timing and impact of any Disaster are unpredictable. With that in mind, we are providing you with this information on our business continuity plan.

**Our Business Continuity Plan** – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their investment information if we are unable to continue our business.

**Varying Disruptions** – Significant business disruptions can vary in their scope, such as only affecting our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within 1-2 days. If the significant business disruption is so severe that it prevents us from remaining in business, we will notify our clients as soon as possible and return to them materials in our possession, if any, that belong to them.

**Contacting Us** – If after a significant business disruption you cannot contact us as you usually do, you should call our alternative number: +44 (0) 79 5258 8464 the mobile number of our CCO. If you cannot access us at the above number, you should contact our affiliated firm, Asante Capital Group, LLP at +44 (0) 20 3696 4700 and our CCO, Mr Warren Hibbert at [wh@asantecapital.com](mailto:wh@asantecapital.com) for assistance.

**For more information** – If you have questions about our business continuity planning, you can contact our CCO, Mr Warren Hibbert at +44 20 3696 4701.

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